

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: Rajeev A. JAIN et al.

Title: CONTROLLED RELEASE  
NANOPARTICULATE COMPOSITIONS

Appl. No.: 09/337,675

Filing Date: 6/22/1999

Examiner: Susan T. Tran

Art Unit: 1615

Confirmation Number: 9275

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(d), before payment of the issue fee.

**RELEVANCE OF EACH DOCUMENT**

Attached to the PTO/SB/08 is a European Search Report cited in related EP Patent Application No. 10 01 0944, dated 12/13/2010, Office Action cited in Canadian Patent Application No. 2,488,499, dated December 16, 2010, Office Action cited in Canadian Patent Application No. 2,475,092, and dated January 11, 2011; also listed are Office Actions cited by the Examiner(s) in related U.S. Patent Application Nos. 11/980,720, dated December 22, 2010, 12/117,982, dated February 2, 2011, and 10/701,064, dated February 14, 2011.

All of the references cited in these documents are listed on the PTO/SB/08, unless they *were already* cited in the present application.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

**STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

**FEE**

Fees in the amount of \$180.00 to cover the fee associated with an information disclosure statement under 37 CFR §1.97(d) are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this submission under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

By Michele M. Simkin

Date: March 4, 2011

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